

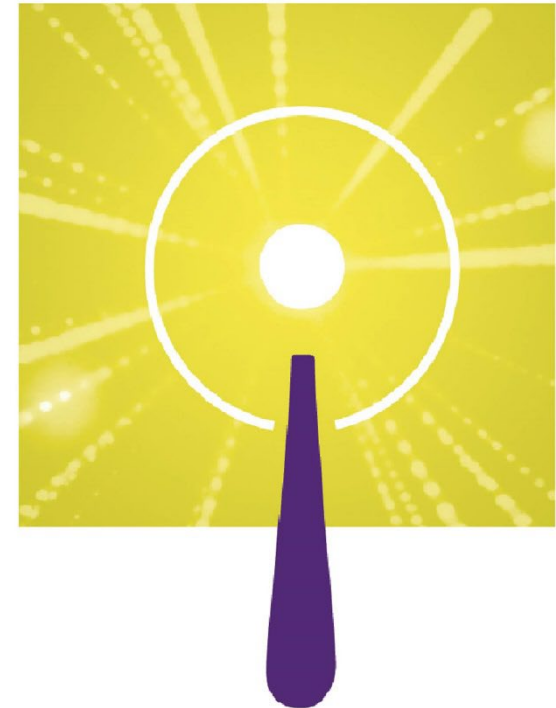
This webinar is being recorded.

Q&A Webinar

June 25, 2024 @ 2:00 PM EDT

Please submit your questions in the “Q&A” box
(scientific inquiries will not be discussed)

NIH DIRECTOR'S
NEW INNOVATOR
AWARD



National Institutes of Health
Office of Strategic Coordination - The Common Fund

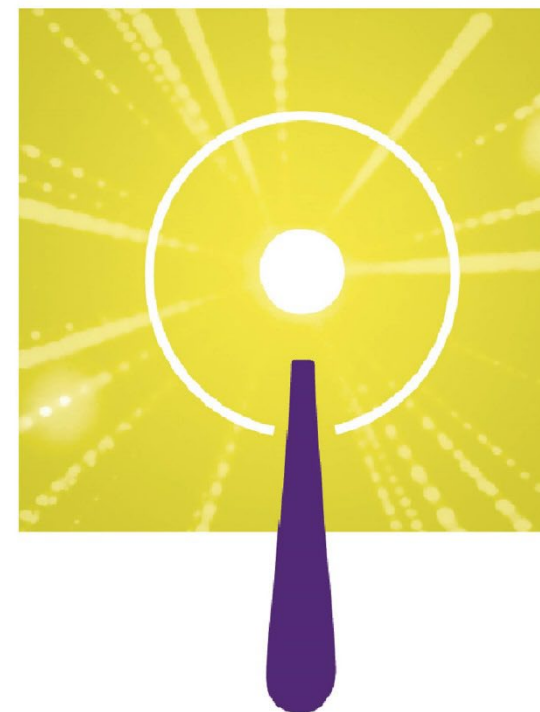
commonfund.nih.gov/newinnovator
NewInnovatorAwards@mail.nih.gov

This webinar is being recorded.

Q&A Webinar

- Submit questions in the “Q&A” box
- Scientific or situationally specific questions will not be discussed (email us)
- For additional questions, contact us at NewInnovatorAwards@mail.nih.gov
- Webinar recording & slides will be posted on website at commonfund.nih.gov/newinnovator
- For more application guidance, see our [Application & Award Guide](#) on website (includes example applications)

NIH DIRECTOR'S
NEW INNOVATOR
AWARD



commonfund.nih.gov/newinnovator
NewInnovatorAwards@mail.nih.gov



Panelists

Trish Labosky, Ph.D.

Program Leader
Office of the Director

Becky Miller, Ph.D.

Program Leader
Office of the Director

Eugene Carstea, Ph.D.

Scientific Review Officer
Center for Scientific Review

Stefania Senger, Ph.D.

Scientific Review Officer
Center for Scientific Review

Sharon Isern, Ph.D.

Scientific Review Officer
Center for Scientific Review

Joshua Powell, Ph.D.

Scientific Review Officer
Center for Scientific Review

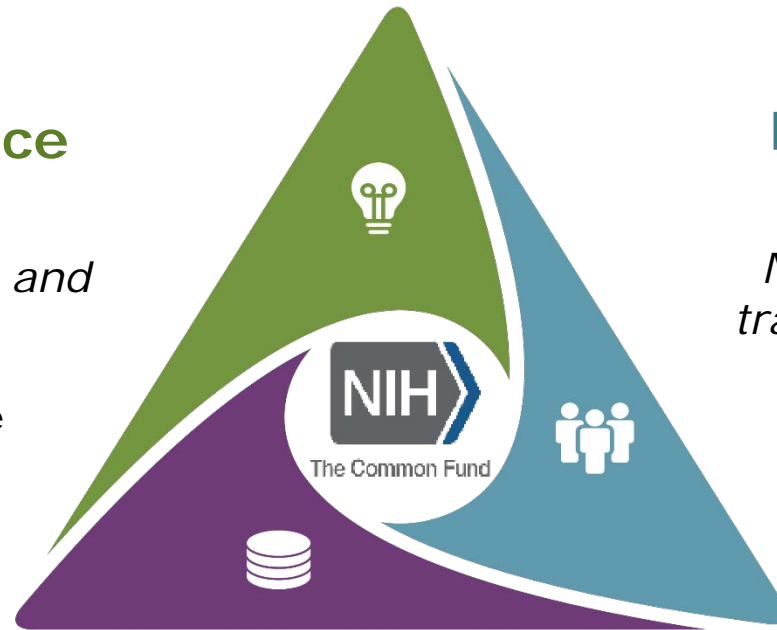
Breadth of Common Fund Programs

27 Programs in 3 Broad Categories

Transformational Science and Discovery

New scientific principles, models, and research resources

- 4D Nucleome
- A2CPS
- CryoEM
- DS-I Africa
- ExRNA
- Global Health
- HRHR
- Human Virome
- IDG
- MoTrPAC
- NPH
- SMaHT
- SPARC
- Oculomics



Re-Engineering the Research Enterprise

New approaches to conducting research, translating research into interventions, and supporting a robust workforce

- ComPASS
- DPC
- FIRST
- SCGE
- UDN
- Complement-AIRE
- Transformative Research to Address Health Disparities and Advance Health Equity

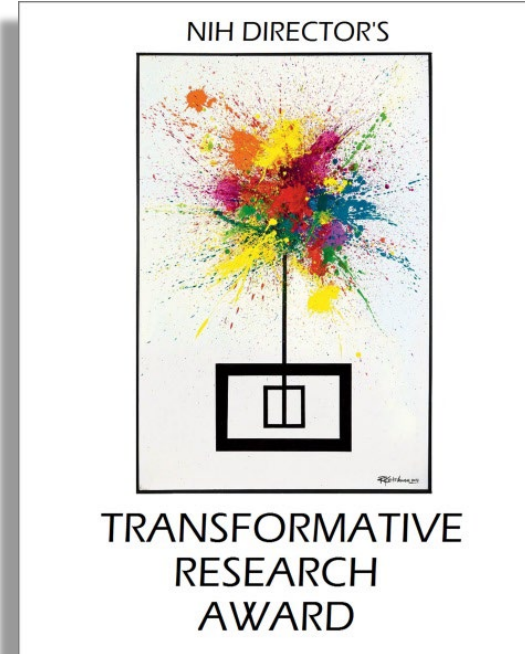
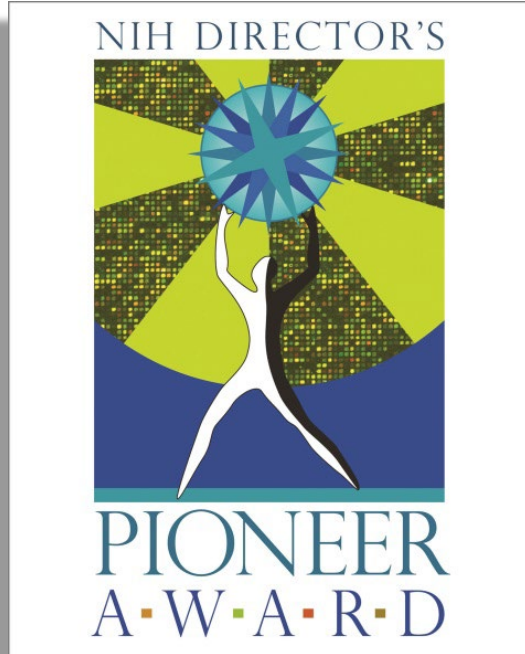
Catalytic Data Resources

Data resources to accelerate discovery

- Bridge2AI
- CFDE
- HuBMAP
- Kids First
- Metabolomics
- SysBio

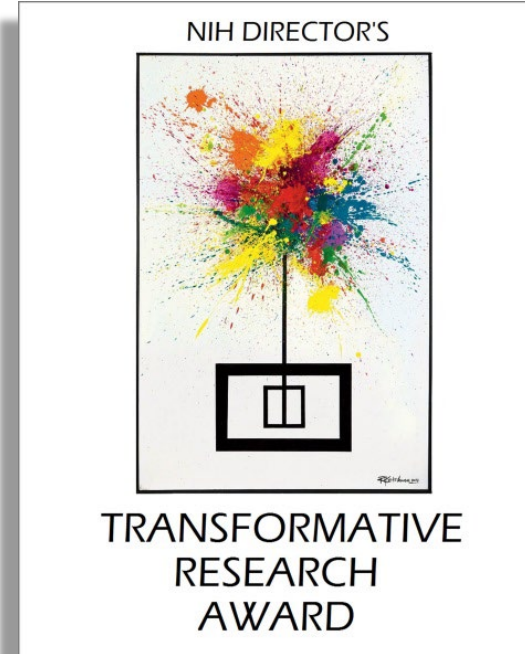
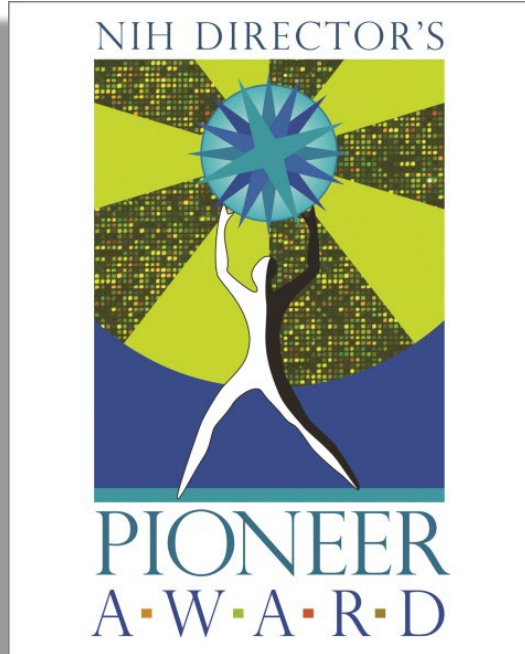
CF programs may be useful for your research: funding opportunities, access to high-end instruments, databases, reagents, protocols,

High-Risk, High-Reward Research Program



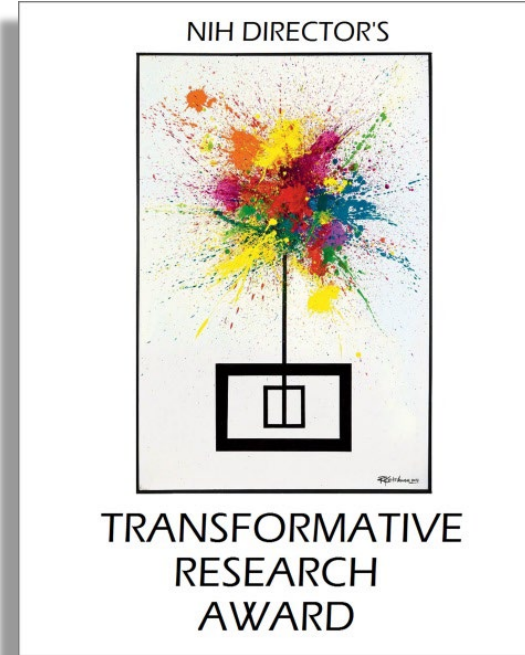
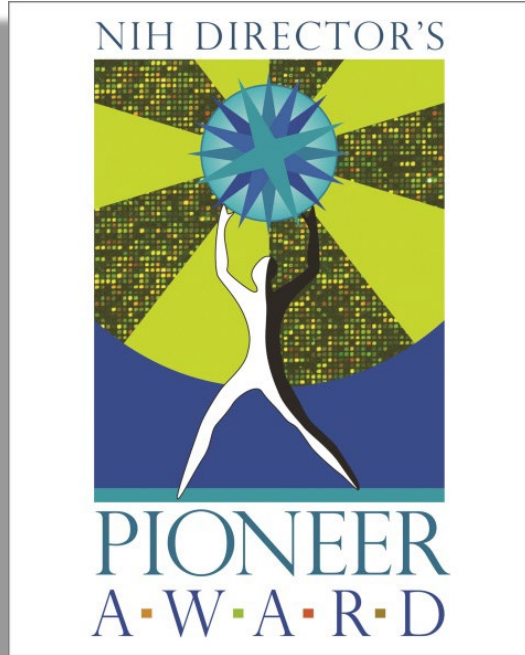
Supporting scientists at all career stages proposing outstanding high-risk, high-impact research

High-Risk, High-Reward Research Program



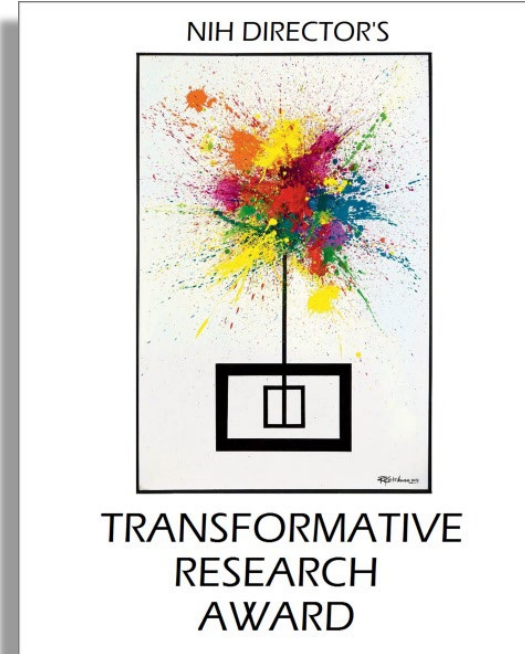
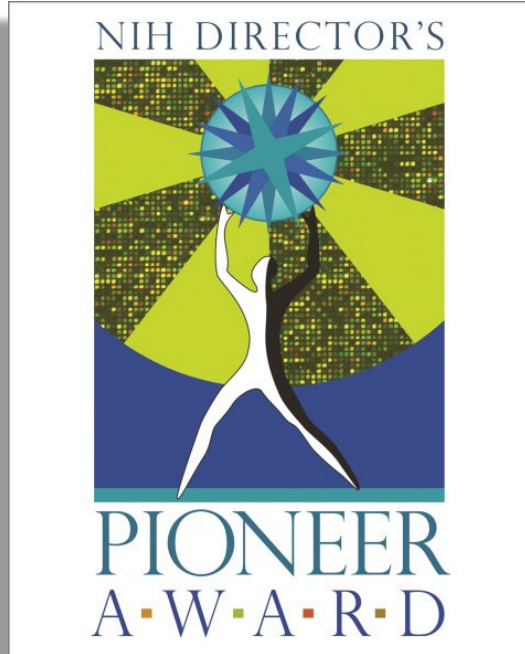
Annual funding opportunities

High-Risk, High-Reward Research Program



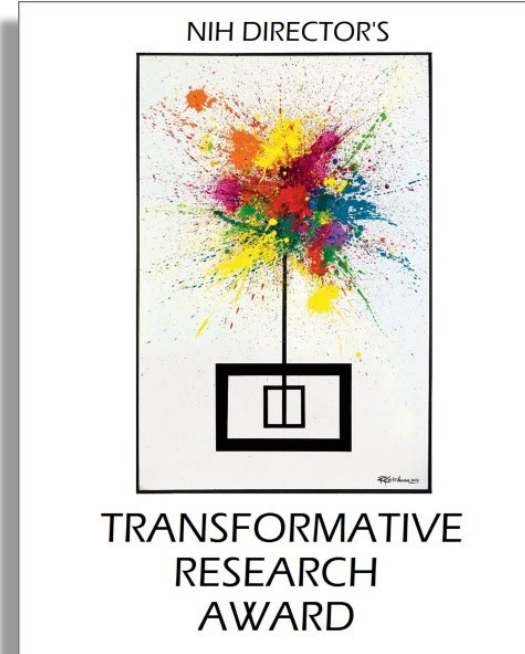
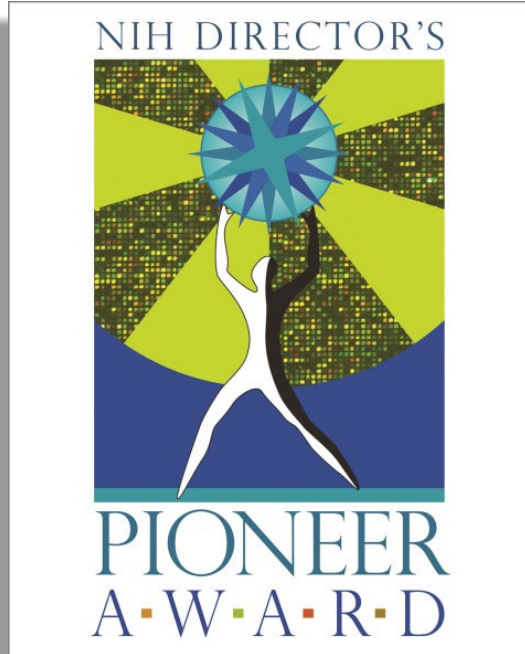
High-risk, high-impact ideas

High-Risk, High-Reward Research Program



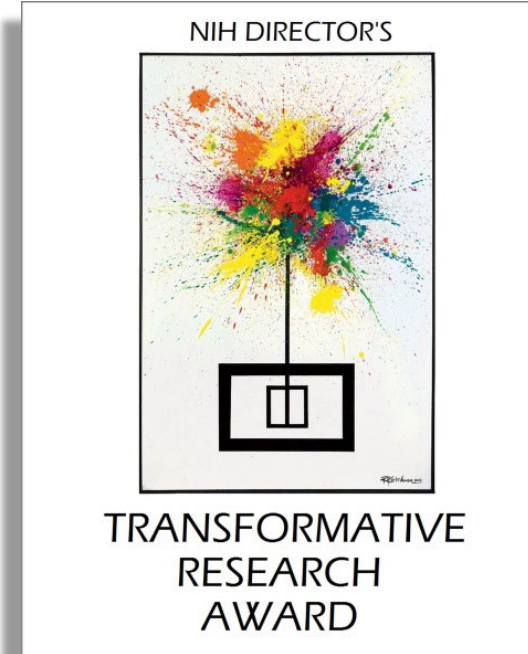
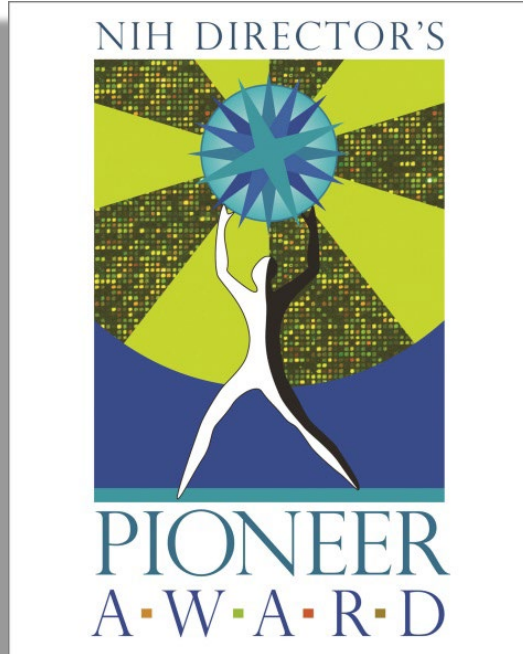
No preliminary data or detailed experimental plan required

High-Risk, High-Reward Research Program



Any topic relevant to NIH mission welcome
Behavioral, social, biomedical, applied, and formal sciences,
and basic, translational, or clinical research

High-Risk, High-Reward Research Program



Encourage applications from investigators with diverse backgrounds and from the full spectrum of eligible institutions

HRHR Working Group

Chair

Tara Schwetz (OD)

Program Leader

Trish Labosky (OD)

Members

Kristin Abraham (NIDDK)

Hugh Auchincloss (NIAID)

Geetha Bansal (FIC)

Alexey Belkin (NIAMS)

Sangeeta Bhargava (NEI)

Rebecca Black (OD)

David Bollweg (OD)

Gene Carstea (CSR)

Jennifer Collins (NIEHS)

Christine Colvis (NCATS)

Bill Duval (NINR)

Emmeline Edwards (NCCIH)

Zeynep Erim (NIBIB)

Rene Etcheberrigaray (NIA)

Asanté Forde (OD)

Nancy Freeman (NIDCD)

Brionna Hair (OD)

April Harrison (NIDCR)

Gabriel Hidalgo (NIDCR)

Dana Hill (CSR)

Sharon Isern (CSR)

Ray Jacobson (CSR)

Susan Koester (NIMH)

Trish Labosky (OD)

James Li (CSR)

Roger Little (NIDA)

Brittany Mason-Mah (CSR)

Becky Miller (OD)

Brett Miller (NICHD)

David Miller (NCI)

Michael Morse (OD)

Ellie Murcia (OD)

Imoh Okon (CSR)

Michael Pazin (NHGRI)

Steven Pittenger (NCATS)

Josh Powell (CSR)

Ananda Roy (OD)

Diana Rutberg (NIDCR)

John Satterlee (NIDA)

Dana Schloesser (OD)

Tara Schwetz (OD)

Stefania Senger (CSR)

Doug Sheeley (OD)

Carol Shreffler (NIEHS)

Lillian Shum (NIDCR)

Elena Smirnova (CSR)

Barbara Sorkin (OD)

RV Srinivas (NIAAA)

Nathaniel Stinson (NIMHD)

Meryl Sufian (NLM)

William Tyler (NINDS)

Stephanie Webb (NHLBI)

Ariel Zane (NIGMS)

High-Risk, High-Reward Research Program



Supports unusually creative early career investigators proposing innovative, high-impact research

- Started in 2007
- Single PI application only
- Early Stage Investigator (no substantial NIH grant and within 10 years of doctoral degree or clinical training)
- Commit 25% research effort
- Awards of \$1.5 million disbursed in two segments
- DP2 activity code

DP2 Application

Follow instructions in SF424 application guide unless specific guidance is provided in the RFA

Specific Aims Page	Do not use
Research Strategy Essay	10 pages (primary component of the application; see later slide for more information)
Biosketch	Only PI's biosketch allowed
Bibliography & References Cited	Do not use; include essential in references in Essay and within 10-page limit
Budget	Minor budget details required
Equipment	Do not use
Letters of support	Do not use
Other components/forms	Use when appropriate, such as Authentication of Key Biol./Chem. Resources; Vertebrate Animals; Human Subjects; Biohazards

Data Management & Sharing Plans

Plans should explain how scientific data generated by the research project will be managed and which scientific data and metadata will be shared

- Attach in “Other Plan(s)” section in “PHS 398 Research Plan Form”
- Recommended not to exceed two pages
- Include:
 - Data type
 - Related tools, software, and/or code
 - Standards
 - Data preservation, access, and associated timelines
 - Access, distribution, or reuse considerations
 - Oversight of data management and sharing
- Include costs in budget and justify in “Section L. Budget Justification” in “R&R Budget Form”
- Budget considered by reviewers & plan reviewed by NIH program staff
- Adjustments made through Just-in-Time (JIT) process
- Becomes part of Terms & Conditions of award
- Go to sharing.nih.gov for more information & examples

Research Strategy Essay

In 10 pages, use the following headings or subsections:

- ***Project science areas*** – 1 digit code and abbreviation for primary and secondary areas
- ***Project Description*** – Describe scientific importance of topic; overall approach to be taken; preliminary data not required, but accepted; state that to comply with the funding opportunity, a detailed and extensive experimental plan is not being provided; however, provide sufficient evidence that proposal has been deeply considered and will be pursued in a robust and rigorous manner
- ***Innovativeness*** – Provide argument of why proposal is exceptionally innovative
- ***Investigator qualifications*** – Support your claim of innovativeness in prior research
- ***Suitability for the New Innovator Award program*** – Describe why proposal is “HRHR”
- ***Statement of research effort commitment*** – State will commit at least 25% research effort toward project
- ***Bibliography/citations*** – Include critical citations; may be in an abbreviated form as long as identifier is unique

Research Strategy Essay - Points to Consider

- Given review process used, be sure that what you write can be easily appreciated by people well outside the field for exceptional innovation and potential for unusually broad impact
- May be helpful to begin with a description of the landscape of the field and current state-of-the-art or boundaries; provide proper context for proposal and why it is so innovative and potentially impactful
- Ease the reader into the jargon of the field
- Though no data or detailed experimental plan are required, convince the reviewer that you have thought deeply about the project – identify risky aspects, how they will be mitigated, alternate approaches
- Also, convince the reviewer that the research will be performed in a robust and rigorous manner – validate new approaches, provide estimates of numbers of human or animal subjects (if used) and why, include that sex will be considered as a biological variable (if appropriate)

Check out FAQs and Examples

We have a detailed FAQ page at
<https://commonfund.nih.gov/newinnovator/faq>

We have detailed Application and Award Guidance:
<https://commonfund.nih.gov/newinnovatorawards/guidance>

We even have sample applications:
<https://commonfund.nih.gov/newinnovatorawards/sample>
(note that these budget/face page will look different – these were under the old 5 year policy)

Budget Periods

To comply with changes in HHS and NIH policy regarding multi-year funded (MYF) awards, New Innovator Award funds are no longer disbursed in the first year for the entire ~5-year project period.

Instead, awards will be made in two MYF segments: one for the first three years and the second for the final two years.

This means that no-cost extensions beyond the initial five-year project period will be allowed.

Budget Information

Annual budgets **are** required in the application, but to help maintain budget flexibility, only minimum information is requested.

The budgets have the following two important constraints:

- For the first segment (9/1/2025 – 8/31/2028), the total direct cost must not exceed \$900,000.
- For the second segment (9/1/2028 – 8/31/2030), the total direct cost must not exceed \$600,000.

Include F&A (indirect) costs in your budget (does not count towards \$1.5M limit)

Budget Information

1. Select the appropriate Budget Type
 - Project

RESEARCH & RELATED BUDGET - Budget Period 1 OMB Number: 4040-0001
Expiration Date: 12/31/2022

ORGANIZATION ID/DUNS: Enter name of Organization:

Budget Type: Project Subaward/Consortium Budget Period: 1 Start Date: End Date:

A. Senior/Key Personnel

Prefix	First	Middle	Last	Suffix	Base Salary (\$)	Months			Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
						Cal.	Acad.	Sum.			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Project Role: <input type="text" value="PD/PI"/>											

Additional Senior Key Persons: Total Funds requested for all Senior Key Persons in the attached file

Total Senior/Key Person

B. Other Personnel

Number of Personnel	Project Role	Months			Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)	
		Cal.	Acad.	Sum.				
<input type="text"/>	Post Doctoral Associates	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
<input type="text"/>	Graduate Students	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
<input type="text"/>	Undergraduate Students	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
<input type="text"/>	Secretarial/Clerical	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
<input type="text"/>	Total Number Other Personnel						Total Other Personnel	<input type="text"/>
							Total Salary, Wages and Fringe Benefits (A+B)	<input type="text"/>

Budget Information

1. Select the appropriate Budget Type
 - Project
2. Provide the Budget Period Start Date and End Date
 - September 1 of one year and August 31 of the next calendar year
 - For example: First budget period would be 9/1/2025 – 8/31/2026

RESEARCH & RELATED BUDGET - Budget Period 1 OMB Number: 4040-0001
Expiration Date: 12/31/2022

ORGANIZATIONAL DUNS: Enter name of Organization:

Budget Type: Project Subaward/Consortium Budget Period: Start Date: End Date:

A. Senior/Key Person

Prefix	First	Middle	Last	Suffix	Base Salary (\$)	Months			Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
						Cal.	Acad.	Sum.			

Project Role:

Additional Senior Key Persons: Total Funds requested for all Senior Key Persons in the attached file

Total Senior/Key Person

B. Other Personnel

Number of Personnel	Project Role	Months			Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
		Cal.	Acad.	Sum.			
<input type="text"/>	Post Doctoral Associates						
<input type="text"/>	Graduate Students						
<input type="text"/>	Undergraduate Students						
<input type="text"/>	Secretarial/Clerical						
<input type="text"/>							

Total Number Other Personnel Total Other Personnel

Total Salary, Wages and Fringe Benefits (A+B)

Budget Information

1. Select the appropriate Budget Type
 - Project
2. Provide the Budget Period Start Date and End Date
 - September 1 of one year and August 31 of the next calendar year
 - For example: First budget period would be 9/1/2025 – 8/31/2026
3. Section A: Senior/Key Persons provide an entry for the PD/PI, including the appropriate level of effort
 - Enter **\$0 for Requested Salary** and **\$0 for Fringe Benefits**
 - Entering \$0 does not imply that the PD/PI will not receive any salary or fringe benefit support from the grant

RESEARCH & RELATED BUDGET - Budget Period 1 OMB Number: 4040-0001
Expiration Date: 12/31/2022

ORGANIZATIONAL DUNS: Enter name of Organization:

Budget Type: Project Subaward/Consortium Budget Period: 1 Start Date: End Date:

A. Senior/Key Person

Prefix	First	Middle	Last	Suffix	Base Salary (\$)	Months		Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
						Cal.	Acad.			
Project Role: <input type="text" value="PD/PI"/>										

Additional Senior Key Persons: Total Funds requested for all Senior Key Persons in the attached file:

Total Senior/Key Person

B. Other Personnel

Number of Personnel	Project Role	Months		Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
		Cal.	Acad.			
<input type="text"/>	Post Doctoral Associates	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Graduate Students	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Undergraduate Students	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Secretarial/Clerical	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	Total Number Other Personnel			Total Other Personnel		<input type="text"/>
Total Salary, Wages and Fringe Benefits (A+B) <input type="text"/>						

Budget Information

4. In Section C: Enter budget request for equipment
- Provide justification using the Budget Justification

The image shows a screenshot of a budget form. Section C, titled "C. Equipment Description", is circled in red. It includes a table with columns for "Equipment Item" and "Funds Requested (\$)". Below the table are buttons for "Additional Equipment:", "Delete Attachment", and "View Attachment", along with a "Total Equipment" field. Section D, "D. Travel", includes fields for "Domestic Travel Costs", "Foreign Travel Costs", and "Total Travel Cost". Section E, "E. Participant/Trainee Support Costs", is crossed out with a large red X and includes fields for "Tuition/Fees/Health Insurance", "Stipends", "Travel", "Subsistence", "Other", "Number of Participants/Trainees", and "Total Participant/Trainee Support Costs".

Budget Information

5. In Section F: Data Management & Sharing Plan costs should be included in the budget
 - Justify in Section L. Budget Justification
6. In Section F: Other Direct Costs add a line item titled "Requested Direct Costs" and provide the total direct cost request for that budget period
 - "Requested Direct Costs" covers everything except equipment costs for that budget period (including salaries, fringe benefits, supplies, travel, and other permitted costs)
 - If equipment is requested in Section C, do not add the equipment budget in Section F

F. Other Direct Costs				Funds Requested (\$)
1.	Materials and Supplies			
2.	Publication Costs			
3.	Consultant Services			
4.	ADP/Computer Services			
5.	Subawards/Consortium/Contractual Costs			
6.	Equipment or Facility Rental/User Fees			
7.	Travel and Renovations			
8.	Requested Direct Costs			\$\$\$
9.				
10.				
Total Other Direct Costs				
G. Direct Costs				Funds Requested (\$)
Total Direct Costs (A thru F)				
H. Indirect Costs				
	Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	Funds Requested (\$)
Total Indirect Costs				
Cognizant Federal Agency (Agency Name, POC Name, and POC Phone Number)				
I. Total Direct and Indirect Costs				Funds Requested (\$)
Total Direct and Indirect Institutional Costs (G + H)				
J. Fee				Funds Requested (\$)
K. Total Costs and Fee				Funds Requested (\$)
Total Costs and Fee (I + J)				
L. Budget Justification				
(Only attach one file.) <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>				

Budget Information

5. In Section F: Data Management & Sharing Plan costs should be included in the budget
 - Justify in Section L. Budget Justification

6. In Section F: Other Direct Costs add a line item titled “Requested Direct Costs” and provide the total direct cost request for that budget period
 - “Requested Direct Costs” covers everything except equipment costs for that budget period (including salaries, fringe benefits, supplies, travel, and other permitted costs)
 - If equipment is requested in Section C, do not add the equipment budget in Section F

7. Ensure that the two budget constraints described above are met:
 - ≤ \$900,000 direct costs for years 1 – 3
 - ≤ \$600,000 direct costs for years 4 – 5

F. Other Direct Costs				Funds Requested (\$)
1.	Materials and Supplies			
2.	Publication Costs			
3.	Consultant Services			
4.	ADP/Computer Services			
5.	Subawards/Consortium/Contractual Costs			
6.	Equipment or Facility Rental/User Fees			
7.	Alterations and Renovations			
8.	Requested Direct Costs			\$\$\$
9.				
10.				
Total Other Direct Costs				
G. Direct Costs				Funds Requested (\$)
Total Direct Costs (A thru F)				
H. Indirect Costs				Funds Requested (\$)
	Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	
Total Indirect Costs				
Cognizant Federal Agency <small>(Agency Name, POC Name, and POC Phone Number)</small>				
I. Total Direct and Indirect Costs				Funds Requested (\$)
Total Direct and Indirect Institutional Costs (G + H)				
J. Fee				Funds Requested (\$)
K. Total Costs and Fee				Funds Requested (\$)
Total Costs and Fee (I + J)				
L. Budget Justification				
<small>(Only attach one file.)</small>				
				<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>

Budget Information

5. In Section F: Data Management & Sharing Plan costs should be included in the budget
 - Justify in Section L. Budget Justification
6. In Section F: Other Direct Costs add a line item titled “Requested Direct Costs” and provide the total direct cost request for that budget period
 - “Requested Direct Costs” covers everything except equipment costs for that budget period (including salaries, fringe benefits, supplies, travel, and other permitted costs)
 - If equipment is requested in Section C, do not add the equipment budget in Section F
7. Ensure that the two budget constraints described above are met:
 - ≤ \$900,000 direct costs for years 1 – 3
 - ≤ \$600,000 direct costs for years 4 – 5
8. Include Indirect Costs!

F. Other Direct Costs				Funds Requested (\$)
1.	Materials and Supplies			
2.	Publication Costs			
3.	Consultant Services			
4.	ADP/Computer Services			
5.	Subawards/Consortium/Contractual Costs			
6.	Equipment or Facility Rental/User Fees			
7.	Alterations and Renovations			
8.	Requested Direct Costs			\$\$\$
9.				
10.				
Total Other Direct Costs				
G. Direct Costs				Funds Requested (\$)
Total Direct Costs (A thru F)				
H. Indirect Costs				Funds Requested (\$)
	Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	Funds Requested (\$)
Total Indirect Costs				
Cognizant Federal Agency <small>(Agency Name, POC Name, and POC Phone Number)</small>				
I. Total Direct and Indirect Costs				Funds Requested (\$)
Total Direct and Indirect Institutional Costs (G + H)				
J. Fee				Funds Requested (\$)
K. Total Costs and Fee				Funds Requested (\$)
Total Costs and Fee (I + J)				
L. Budget Justification				
<small>(Only attach one file.)</small> <input type="text" value=""/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>				



Center for
Scientific Review

NIH Director's New Innovator Award (DP2)

Gene Carstea, PhD

Scientific Review Officer, New Innovator Award Review

Chief, Cardiovascular and Respiratory Sciences (CVRS) IRG

CSR, NIH

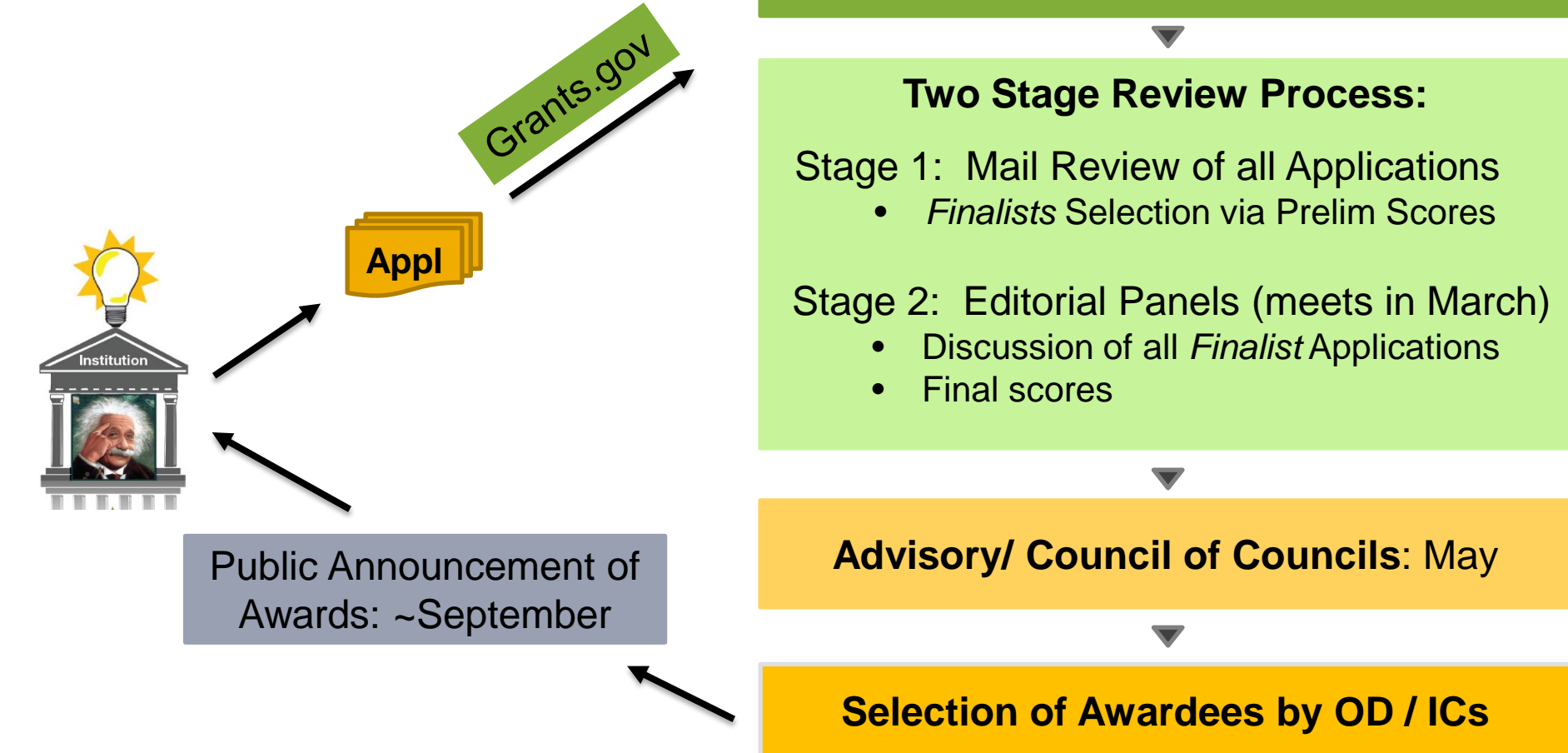
2024–25 Webinar

June 25, 2024





New Innovator Award Review Process





Peer-Review Process – Pre-Stage 1

- Applications will be assigned to one of 2 equivalent panels ...
..... both panels will reside within:
 - *Respiratory, Cardiac and Circulatory Sciences (RCCS) Review Branch*
- Administrative Review: A check for completeness and ESI eligibility
- Applications are grouped based on areas of science, as identified by applicant
- Potential *Conflicts of Interest* will be considered for each application
 - e.g., Institutional, Collaborative, etc.
- *Mail Reviewers* will be recruited to cover all representative areas of science



Peer-Review Process: Stage 1 (Mail Reviews)

For Each of the Review Panels:

Recruit Panel of Mail Reviewers



Match Reviewers with Science



3 Reviewers / Application



Evaluation: 3 Criteria and
Overall Impact Score (1-9)

1. "Importance and Potential Impact of the Scientific Problem"
2. "Novelty / Innovativeness of Approach"
3. "Creative Potential of ESI"

Overall Impact Paragraph



Selection of *Finalist* Applications for Stage 2

- ✓ Selection based on Rank Score order by Mail reviewers
 - Selection of topic experts within the application's Area of Science (AoS)
 - Overall Impact Scores

- ✓ Unbiased selection of applications by Editorial reviewers
 - Combination of Scores and Impact statements

In total: Approx. 20% of all submitted applications will advance to Stage 2



Stage 2: Editorial Review



- “Editorial board” will consist of ~15–20 panelists
 - Senior members of the scientific community
 - Experts with broad scientific understanding

- Each finalist application is assigned to 3 Editor/Reviewers
 - Editor/Reviewers will have access to prior critiques/evaluations
 - All finalist applications will be discussed

- Scoring is focused on *Impact & Innovation*

- Release of *Final Scores* and *Summary Statements* (for all)
 - For *Discussed* applications: *Summary Statements* will include a *Final Score* along with a *resume / summary of discussion*
 - *Non-Discussed* statements: will receive 1st stage critiques



Questions about the Review process?

Contact:

Gene Carstea, SRO, New Innovator Award
Chief, RCCS Review Branch
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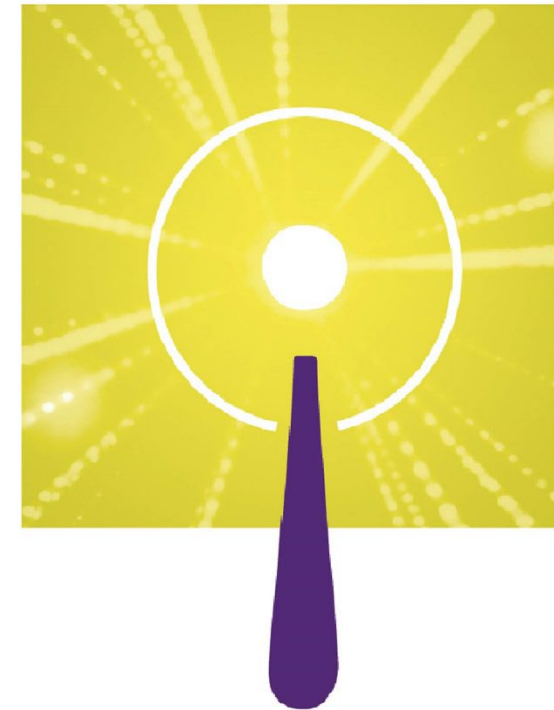
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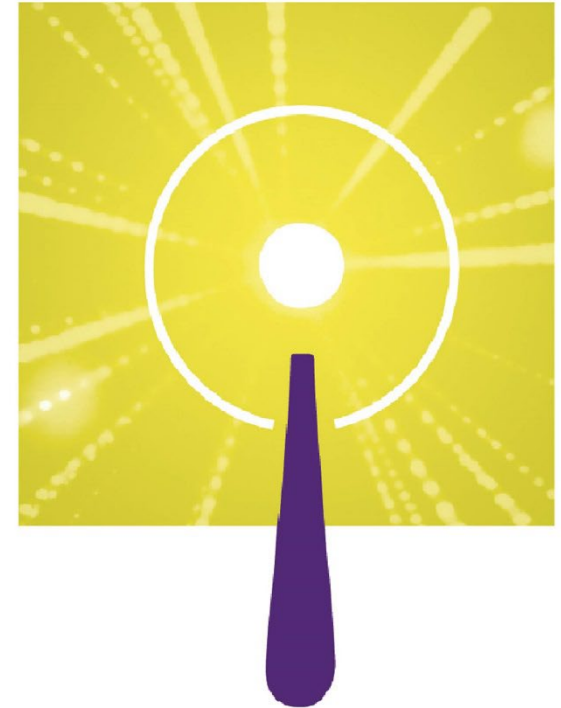
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Application & Submission

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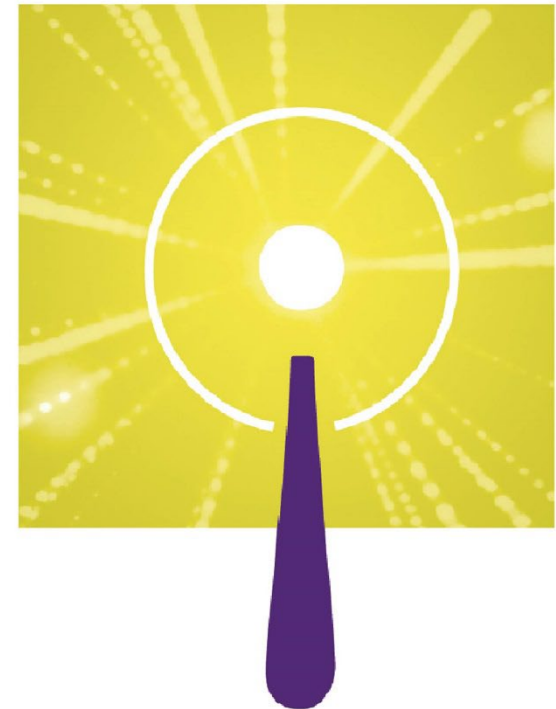
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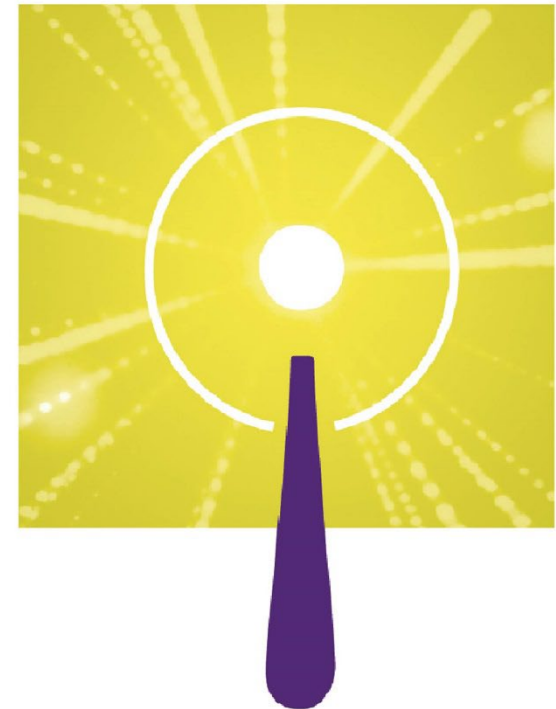
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Thank you for attending.

A recording of the webinar & slides will be posted on commonfund.nih.gov/newinnovator.

For additional questions, email us at NewInnovatorAwards@mail.nih.gov.



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